

# Demonstration of Compact Free-Space Optical MIMO Transmitter and Receiver Using Tri-Wavelength VCSELs and Silicon APDs

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**Abstract** The use of multi-wavelength optical tests can be found in many applications including industrial manufacturing, food testing, and hospitals. This effort was targeted at making compact devices that could emit multiple wavelengths and detect multiple wavelengths simultaneously. Multiple approaches were considered. The chosen design accomplishes multiple input and multiple output spectral measurements through a direct-sequence spread spectrum (DSSS) technique. The devices demonstrated within this paper are novel in that they allow simultaneous operation of multiple wavelengths and multiple detectors using readily available components in a compact arrangement.

**Keywords** Free-space optics, Spectrometer, MIMO, APD, VCSEL

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## 1. Introduction

The measurement of the optical parameters, such as scattering and absorption, can be used to discern key information about media within a test environment. Further, the use of multiple wavelengths for optical testing of media can be collectively analysed as a measure of specific materials, chemicals, structures, etc. within a medium [1] [2]. The use of multi-wavelength optical tests can be found in many applications including industrial manufacturing, food testing, and hospitals.

This effort was targeted at making compact devices that could emit multiple wavelengths and detect multiple wavelengths simultaneously. Multiple approaches were considered. Time multiplexing the wavelength sources would allow a single sensor to measure each of the wavelengths without interference from other test wavelengths [1]. Separate detectors could be selected for each wavelength, thereby allowing for simultaneous measurements. However, these approaches have drawbacks. Time multiplexing limits the ability to make measurements of time varying media. Separate detectors would require more components, which would grow the size of the devices [2].

The devices described below are novel in that they avoid

time multiplexing using readily available components in a compact arrangement. A compact device allows testing in confined spaces, testing around small samples, or even on human volunteers. The benefit of simultaneous measurements is that time varying media can be measured quickly across multiple parameters. When the measurement requires the same condition for each wavelength, such as in pulse oximetry [3], simultaneous optical measurements of the media will increase the accuracy of the test.

This design accomplishes simultaneous wavelength measurements through a direct-sequence spread spectrum (DSSS) technique [4]. The DSSS implementation uses a unique maximal length code sequence for each wavelength in the system. The receiver's photodetector detects all of the wavelengths simultaneously and creates an electrical output that is the superposition of all signals from all wavelengths. The post-processing of the electrical signal benefits from DSSS in two ways. First, the code sequences are uncorrelated so that correlating the electrical signal with one of the codes will effectively filter out all other wavelengths. Second, the optical losses are anticipated to be substantial. The DSSS technique inherently boosts the signal-to-noise ratio (SNR) at the output of the system.

Optical measurements sometimes need to be measured at different locations along a medium to assess optical parameters of the medium [5]. For example, measurements at multiple locations separated from a source can be used to identify the absorption of each wavelength within an unknown medium. Therefore, this design incorporates two isolated receiver front ends that are separated by a fixed distance in a coplanar arrangement along the receiver PCB.

In this paper, we present the design and test of a

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multiple-input multiple-output transmitter and receiver using commodity components. The use of commodity fiber optic communications components such as Vertical Cavity Source Emitting Lasers (VCSELs) and semiconductor photodetectors may permit higher performance with improved component availability as well as an improved price-to-performance ratio. Section II discusses the design methodology, component selection, and assembly of the transmitter and receiver. Section III describes the testing and results, highlighting the system's performance.

## 2. Design

The transmitter front end comprises three laser drivers and three VCSELs. The VCSELs are at three different wavelengths: 680 nm, 790 nm, and 850 nm. The receiver front end contains two photodetectors, two transimpedance amplifiers (TIAs), and several gain stages. The complete transmitter sends a repeated unique maximal length code sequence for each wavelength. The complete optical receiver detects the optical waveforms and separates the wavelengths by correlating the received waveforms with each wavelength's unique maximal length code. The choice was made to implement each sequence generation with a pattern generator driving non-return to zero (NRZ) symbols based on a predetermined repeating maximal length code [6]. The receiver signal recovery was implemented in software to allow us to concentrate on the design and testing of the optical front ends.

### 2.1. Optical Front End Design Process

During the first phase of the design process, we created an optical power budget analysis. **Figure 1** depicts the measurement setup. The three primary components are the transmitter, the transport medium, and the receiver. The transmitter includes the signal source, the laser drivers, and

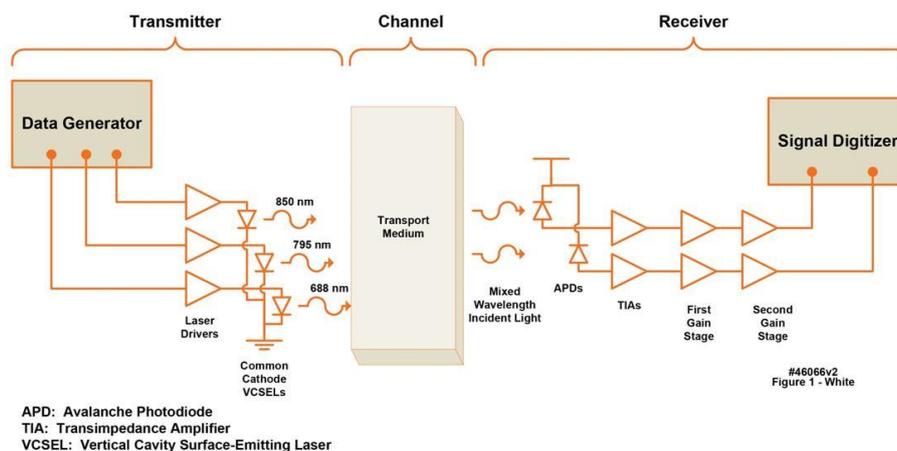
the directly modulated lasers. The receiver incorporates the photodetectors, the TIA, gain stages, and analog-to-digital converters (ADC) that sample the received signals.

The transmitter consists of three directly modulated lasers at different wavelengths, each using a unique code sequence. The optical signal from each laser has an average optical power level ( $P_{avg}$ ) and a modulated amplitude ( $P_{oma}$ ) [1]. Directly-modulated lasers are typically constrained in their modulation range by two elements: the lasing threshold and the peak laser power [1]. For high-speed optical modulation, the fastest edge rates are obtained by setting the minimum laser current above the lasing threshold. The lasing threshold is the lowest amount of current necessary for lasing to occur. The peak laser power ( $P_{MAX}$ ) is the maximum power the laser can output. Above  $P_{MAX}$  the laser output power decreases with increasing current [1]. Thus, the maximum  $P_{oma}$  achievable for high-speed operation is between the lasing threshold and the  $P_{MAX}$ .

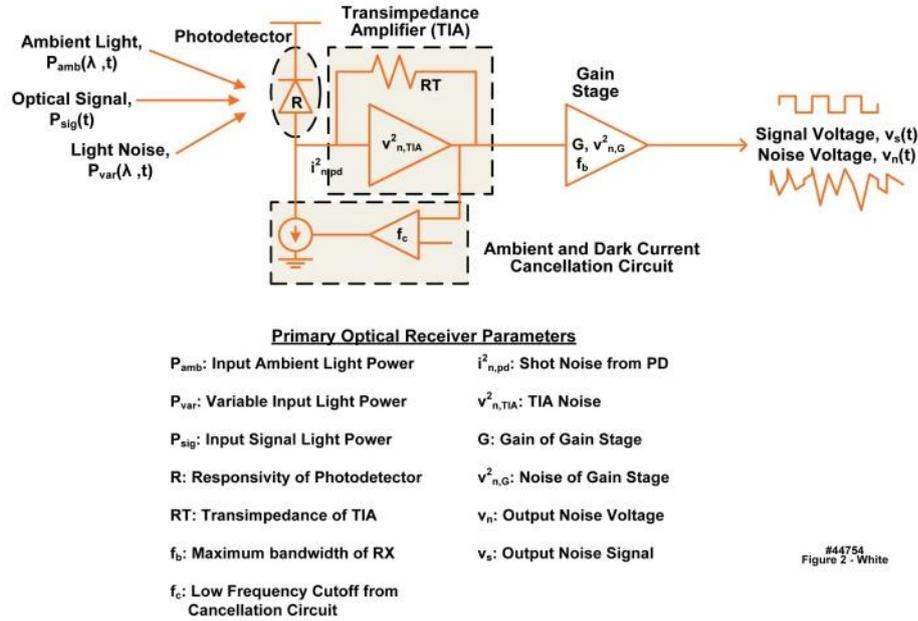
At the receiver, the photodetector first converts the optical signal back to an electrical current with an efficiency referred to as its responsivity,  $R$  [1]. The current is then converted to a voltage signal by the TIA. In this design, the detector cannot differentiate between the signals of different wavelengths, which in turn results in the output voltage signal representing the superposition of the three optical signals.

In traditional fiber optic links, the output of the TIA is fed into a limiting amplifier. Because the signal recovered by the TIA in this unique receiver will be below the noise floor, a limiting amplifier would not operate on the signal as intended. Instead, linear gain stages are employed to drive the signal into a high-speed digitizer. The digitizer imposes two restrictions on the receiver design. First, the digitizer's maximum input voltage limits the total allowable gain for a given amount of receiver noise. Second, a minimum signal amplitude is required to assure that the digitizer can generate a correct least significant bit (LSB).

**A FREE-SPACE SPECTROMETER COMPRISING TRANSMITTER MODULES, TRANSPORT MEDIUM, AND RECEIVER MODULES (Conceptual Asymmetric Design Using Three Transmitters and Two Receivers)**



**Figure 1.** The free-space, three wavelength spectrometer described herein comprises three transmitter modules, a common transport medium, and two receiver modules. (46066)



**Figure 2.** The receiver has four primary components: the photodetector, the bias control circuitry, the transimpedance amplifier, and the output amplifier. (44754)

**Table 1.** The link loss budget was analyzed for a nominal optical attenuation of 40 dB and an extinction penalty of 10 dB. Additional restrictions are included for the digitizer (ADC) input, such as maximum input voltage range and the ENOB for defining the minimum signal amplitude

Parameter	Value	Units
Output Optical Amplitude	1	mW
Average Output Optical Power	0.625	mW
Transport Media Loss	40	dB
Transport Media Extinction Penalty	10	dB
Photodetector Responsivity	40	A/W
Photodetector Bandwidth	2.3	GHz
Transimpedance	2750	$\Omega$
APD Excess Noise Factor	2.2	
APD and TIA Noise Output	11	pA/ $\sqrt{\text{Hz}}$
Differential Amplifier Gain	8	V/V
Differential Amplifier Noise	2.7	nV/ $\sqrt{\text{Hz}}$
Output Driver Gain	10	V/V
Output Driver Noise	2.7	nV/ $\sqrt{\text{Hz}}$
Digitizer Maximum Input Voltage	0.5	V
Digitizer ENOB	6.7	bits
Output Signal Amplitude	53	mV
Output Noise Power	148	mV <sub>rms</sub>
Approximate SNR	-14.9	dB

The decrease in light intensity between the optical source and optical detector is imposed by the characteristics of the transport medium. If the transport medium is air, atmospheric turbulence and multipath effects result in optical fading losses [8]. The scattering and absorption properties of a turbid medium, such as ocean water, cause attenuation and dispersion in accordance with the radiative transport equation [9]. The medium's loss budget can be estimated as a

loss factor on the average optical power and an extinction loss. The extinction loss (or penalty) reduces the extinction ratio, which is the ratio of the high optical power level to the low optical power level [1]. The medium can also contribute additional noise factors, such as ambient light or fluorescent sources. However, this effort ignored these medium effects and concentrated on signal loss.

The design of the optical front end consisted of three major steps:

### 2.1.1. Link Loss Budget

To design the transmitter and receiver modules, an estimate of the link budget was calculated, which provided a definition of component requirements. The link budget for the design appears in **Table 1**, which assumed an optical attenuation of 40 dB and an extinction penalty of 10 dB. The calculation of the SNR assumes that the output signal is a square wave and that the noise is Gaussian, yielding an estimated SNR of -14.9 dB. The parameters related to a notional receiver design are depicted in **Figure 2**.

### 2.1.2. Optical Modulator Design (**Figure 3**, Right Panel)

The transmitter module required three independent lasers, with a unique data sequence transmitted by each laser. VCSELs from Vixar, Inc. were selected, specifically the MULTM-0000-B001 component, which comprises three VCSELs in one TO-46 can. Each VCSEL has an isolated anode pin, but all three share a common cathode pin. The three lasers have wavelengths of 670 nm, 795 nm, and 850 nm. The Texas Instruments' ONET4211LD laser driver was selected to bias, monitor, and modulate the VCSEL. Three separate components were used, one for each laser. This configuration allowed independent control of each VCSEL. The use of a common cathode triad of lasers did not connect

in an optimal manner to the laser drivers, because traditional fiber optic lasers use a common anode package. To overcome this issue, we created an alternate circuit design for the laser drivers. The anode of each laser was connected with an 18 Ω resistor to the positive supply voltage. The laser driver was connected to the anode as well. Thus, the VCSELs were modulated by means of voltage compression.

2.1.3. Optical Receiver Design (Figure 3, Left Panel)

The detector converts the light into electrical current. The detector’s material determines the spectrum of light that the detector can most efficiently convert. For the sub–1000 nm wavelengths, a silicon detector demonstrates the best performance. Two common forms of detectors are PIN photodiodes and avalanche photodiodes (APDs) [1] [10]. A PIN photodiode converts light to electrical current with a responsivity of approximately 0.6 amps/watt (A/W). An APD converts light to electrical current as well, but it has an additional gain factor which can increase the responsivity by 10-100 times that of a PIN photodiode. However, concomitant with the higher gain, the APD also contributes additional noise to the signal.

Typical optical communication receiver circuits contain bias circuitry to cancel the dark current and static light current from the photodiode, as illustrated in Figure 2 [11] [11]. Because the bias circuitry is a feedback control loop, the receiver typically has a low frequency bandwidth cutoff in the kilohertz range. This circuitry is critical for this specific application, because it can subtract the ambient light current from the signal as long as the ambient light level is

nearly constant within the response time of the circuitry.

Once the light signal has been converted to electrical current, the signal needs to be amplified. A transimpedance amplifier (TIA) is traditionally used to amplify and simultaneously convert the current signal to a voltage waveform, but also adds electrical noise to the received signal. The totality of the noise is determined by many factors including the TIA’s bandwidth and its transimpedance value [10]. The transimpedance stage is designed to accommodate the photodiode capacitance, to deliver a specifically defined bandwidth, as well as an optimal signal to noise ratio.

We selected the AD230-2.3G-TO5 APD with integrated TIA from Pacific Silicon Sensor, packaged in a TO-5 can. The APD has an active area of 0.042 mm<sup>2</sup> and a peak responsivity of 50 A/W near 800 nm, a gain of 100 at approximately 150 V, and an excess noise factor of 2.2. The TIA has a transimpedance of 2.75 kΩ and a bandwidth of 2.3 GHz. The output of the TIA is linear with respect to the input current up to 40 μA peak-to-peak (p-p), at which point the output voltage begins to saturate.

The output of the TIA is typically too small at low light levels for a typical communications receiver to detect. Therefore, one or multiple gain stages may be needed following the TIA, which can boost the signal to the necessary amplitudes for detection [13]. However, the gain stages also amplify any prior noise contaminants and contribute additional noise as well. Thus, the gain and noise of these stages must be tailored to allow the best possible signal to noise ratio.

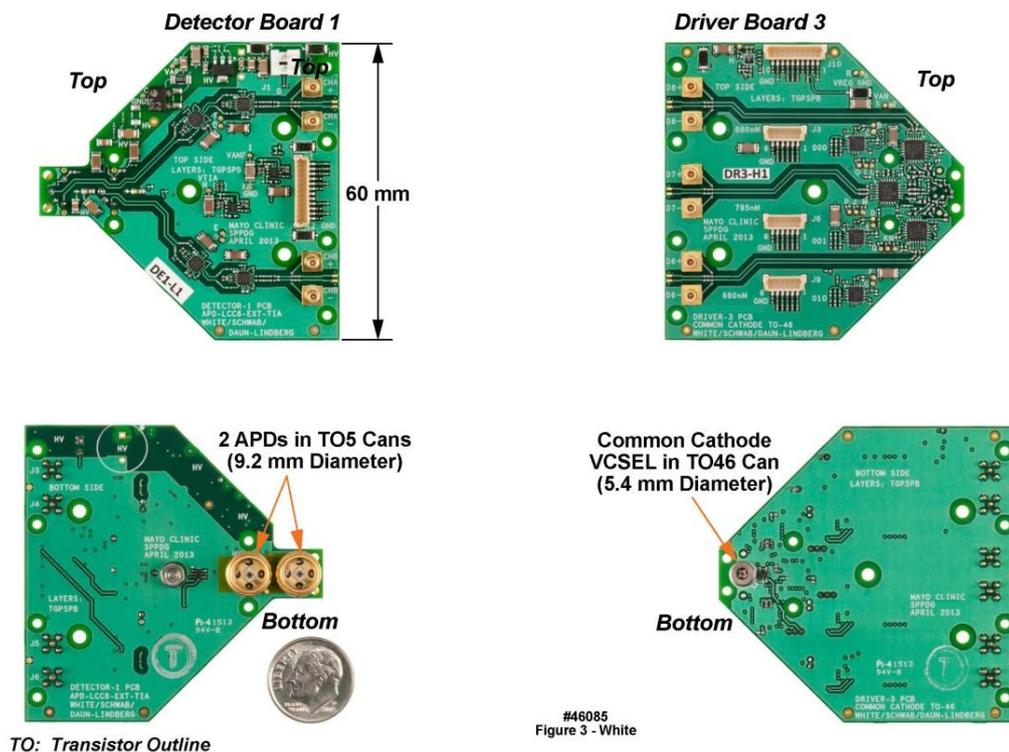


Figure 3. The transmitter module has one TO-46 can comprising three VCSELs. Each VCSEL is driven with an ONET4211LD laser driver. (44143)

The bandwidth and linearity of the output amplifiers are critical to overall system performance [13]. For traditional optical communications receivers, the output amplifiers are actually limiting amplifiers [11] that drive the signal to a fixed low or high voltage; such stages have wide bandwidth but are strongly nonlinear. Code-division multiple access (CDMA) receivers, which are also spread spectrum, employ integrate-and-dump circuits [13] [10] [14] that are also nonlinear. For this application, a limiting amplifier would distort the signal and prevent successful correlation with the known code sequence. Alternately, the integrate-and-dump circuitry can be replaced with digital post-processing. Therefore, the output stages were required to have minimal distortion across the supported signal band [15].

For this design, we selected the AD8352 from Analog Devices. Two AD8352 amplifiers were used consecutively to provide the desired signal gain while delivering adjustable bandwidth up to 2 GHz. The first instance of the amplifier was configured to provide roughly 20 dB of gain, while the second instance was configured to drive the signal into a NI-5186 digitizer from National Instruments.

### 3. Results

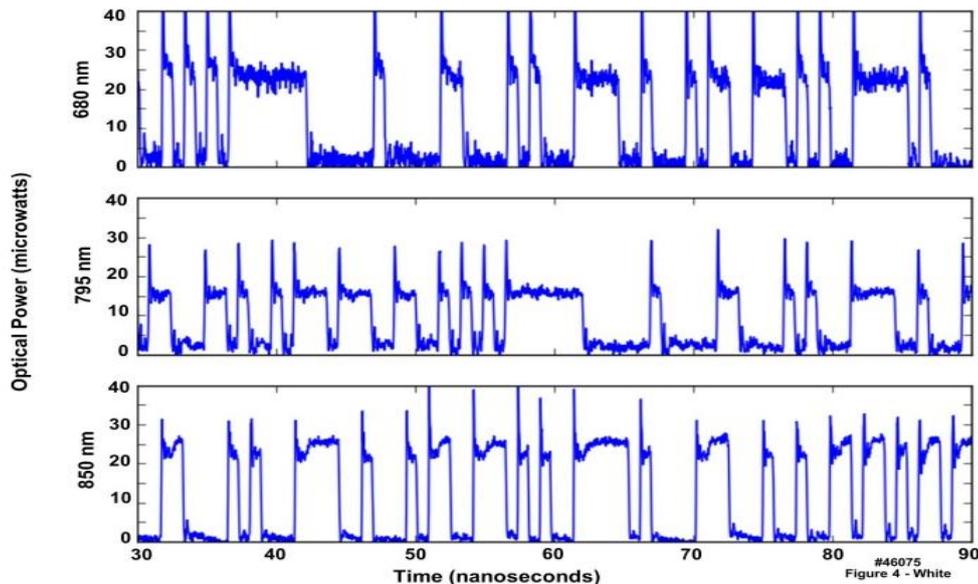
#### 3.1. Component Characterization

The transmitter module was initialized by setting the appropriate drive currents to achieve maximum output amplitude for each VCSEL, using digital potentiometers to set the bias and modulation currents. The three panels in **Figure 4** display the waveforms for each VCSEL output using a 27 – 1 pseudo-random bit sequence (PRBS) pattern at 1.25 Gbit/s. The pattern generator’s singled-ended outputs

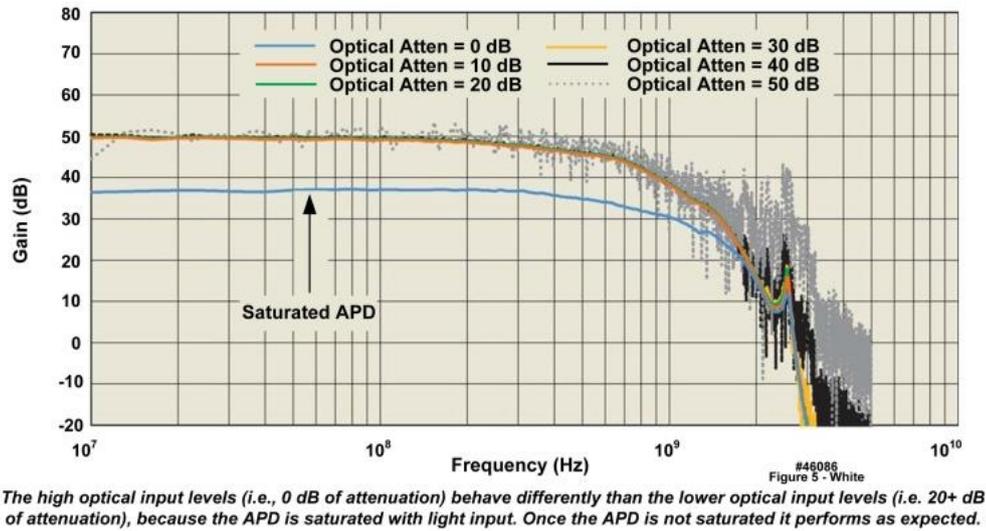
were connected to SHF Communication Technologies AG baluns to create differential signals as the input to each laser driver. The outputs of the VCSELs were measured using a fiber probe connected to a Tektronix DSA8200 digital oscilloscope with the 80C08C 10 Gbit/s optics module. Because the fiber probe could not capture all of the light from the VCSELs, the measured amplitudes were much smaller than the total emitted light power. In addition, the large overshoot on the rising edges of the pattern is a known characteristic of VCSELs. As anticipated, the optical signal exhibited sharp edges, delivering a nearly ideal square shape.

The APD voltage and input optical power strongly affected the performance of the receivers. The gain and bandwidth of the receivers were measured with an Agilent lightwave component analyzer (LCA). The light was injected from the LCA source through a JDS Uniphase optical attenuator to a multimode fiber probe. The nominal optical output power was -5 dBm. The gain increased with APD voltage as anticipated. We used an APD voltage of 150 V because it delivered the maximum gain without exceeding the device’s breakdown voltage. The gain was noticeably lower for maximum input optical power because the APD was saturated. After the input light power was attenuated by 20 dB, the gain was consistently approximately 50 dB (V/V), as may be observed in **Figure 5**.

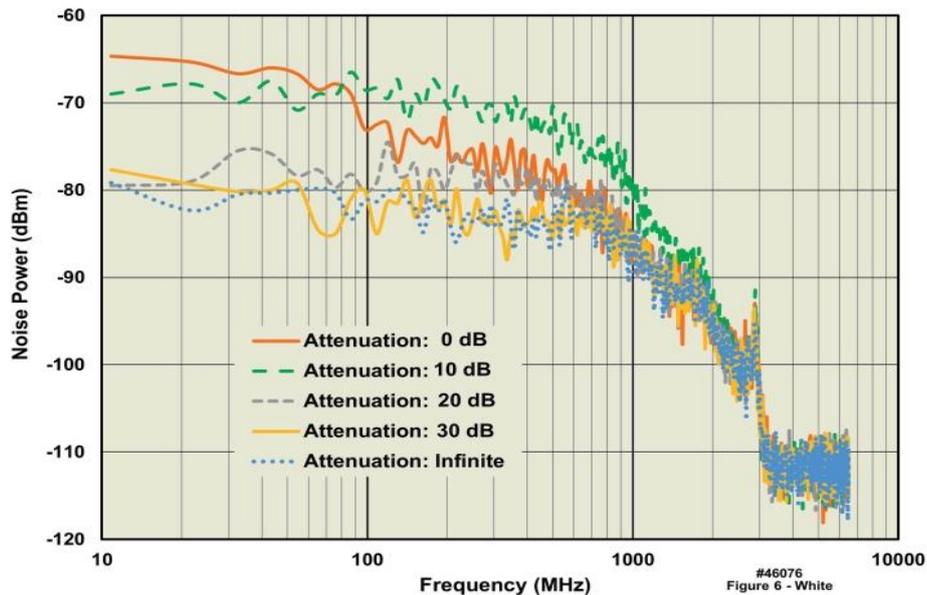
The output noise power from the receiver was also a function of the input optical signal strength. An 850 nm CW light source through the JDS Uniphase optical attenuator was employed to measure the magnitude of output noise with decreasing levels of input power. As illustrated in **Figure 6**, the noise power was below -65 dBm for optical input powers below the APD’s saturation level.



**Figure 4.** Output of the three VCSELs as captured on a Tektronix DSA8200 with an optical module. These waveforms illustrate a PRBS sequence of 27-1 pattern. (46075)



**Figure 5.** Receiver gain for a single-ended output as measured by an Agilent optical spectrum analyzer (OSA). The optical attenuation was swept from 0 dB to 60 dB. The isolated lower gain curve occurs when the APD is saturated with light. (46086)



**Figure 6.** Receiver noise power was measured on a spectrum analyzer. The input optical power was from an 850 nm light source without modulation. The light was attenuated to illustrate the noise power for decreasing levels of incident light power. (46076)

### 3.2. Intermediary Measurement Demonstration

To complete the analysis of the transmitter and receiver pair, a test setup was constructed that allowed the insertion of various media between the light source and the detectors. The test media consisted of a cuvette filled with an intralipid diluted to various concentrations. The setup allowed for the devices to be arranged in different positions to discern the difference in absorption dominant and scattering dominant scenarios. The overall object of the testing was to demonstrate the capability of the DSSS post-processing.

The components were combined to test a target sample of intralipid-20%. The test solution was diluted with de-ionized water. A ratio of 1 part intralipid was combined with increasing parts of water. The variations of water proportions were 2, 4, 8, 16, and 32. The greatest proportion of water

created a sample with a concentration less than 1% intralipid.

In **Figure 7**, the system was oriented to test the sample in three different configurations. Each configuration represents traditional optical measurement techniques. Also, each configuration exposes a different relationship between the scatter and absorption behaviors of the test sample. A “through” configuration favors the measurement of absorption. The orthogonal measurement offers a balance of absorption and scattering depending on the divergence of the VCSEL beams. The same-side configuration favors scattering and reflectance measurements.

The full test setup connected a 1.25 Gsymbol/s NRZ pattern generator to each transmitter wavelength. The outputs of the two detectors were connected to a digitizer operating at 6.25 Gsamples/s. The medium is time unvarying in this test so the digitizer was configured to interleave

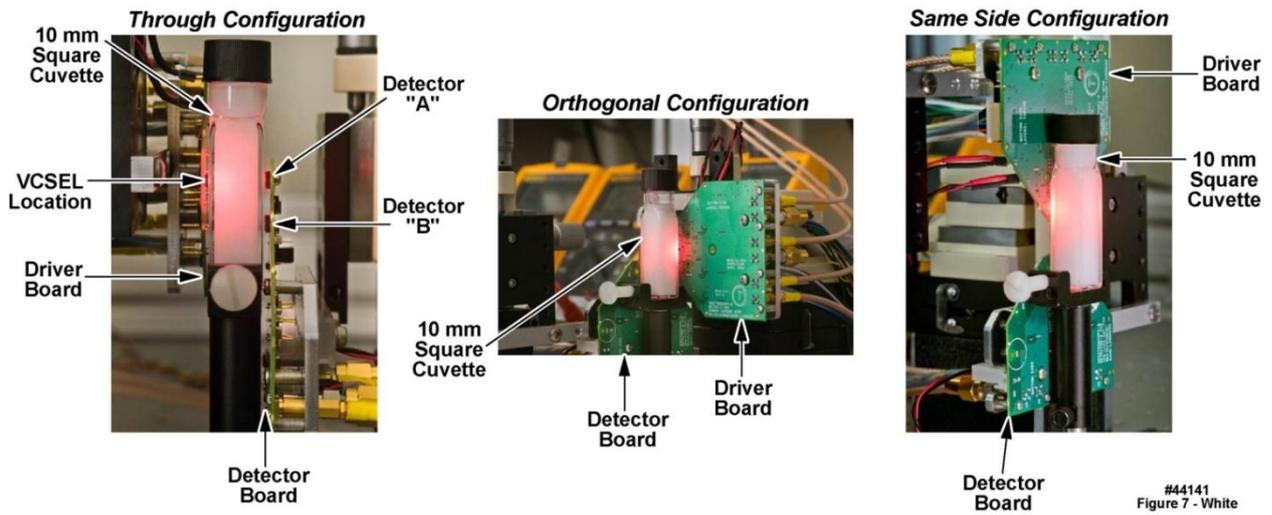
multiple repeated sampling periods to achieve an effective sampling rate of 250 Gsamples/s.

The post-processing of the measured waveforms is presented in **Figure 8** and **Figure 9**. The results in **Figure 8** show the recovered impulse response for each wavelength through the media. Each test was conducted in the same-side configuration for different magnitudes of dilution. The higher concentration (smaller dilution ratio) displayed a higher signal magnitude, as a result of the increased scatter for increased concentrations of intralipid.

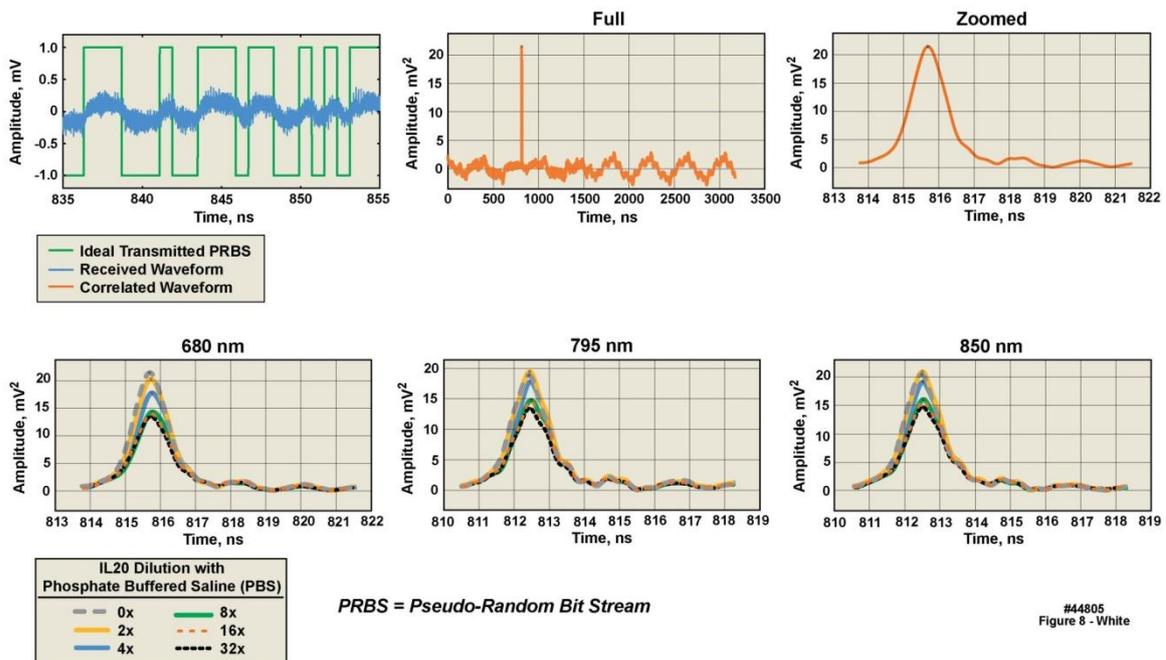
The results presented in **Figure 9** compare two configurations for varying sample setups. Intralipid is predominantly a scatterer in the distances represented by this setup. Thus, not much light is absorbed in the “across” configuration measurement. The scattering does allow

measurable signal to be recovered in the same-side configuration measurements.

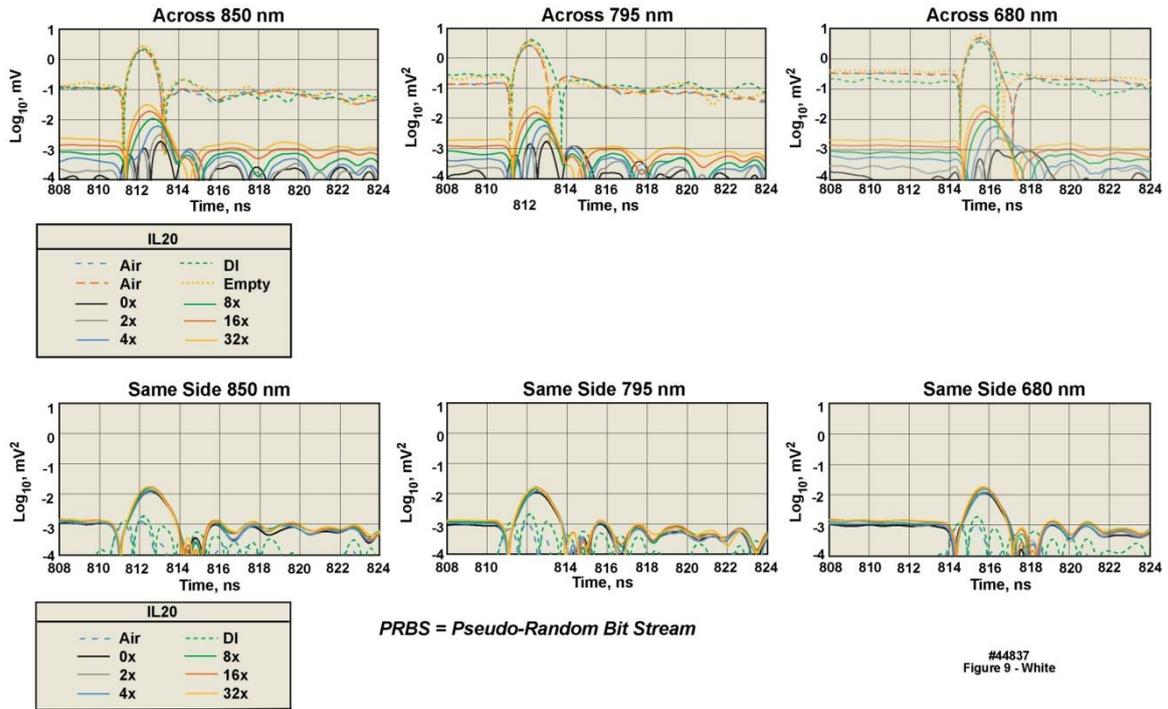
One more test was conducted to validate that the wavelengths were properly separated. The transmitter was driven with the same code sequences, but each sequence was correlated with a lower data rate sequence. The signal was then passed through an optical attenuator to emulate the effects of absorption on the signal. By recovering the data sequence that was superimposed with each wavelength’s code, the recovered data was compared to the transmitted data. Since each wavelength had a unique data pattern, the received data signal could be compared to the transmitted signal. The results of the test appear in **Figure 10**. This test verifies that each wavelength was correctly recovered from the received signal.



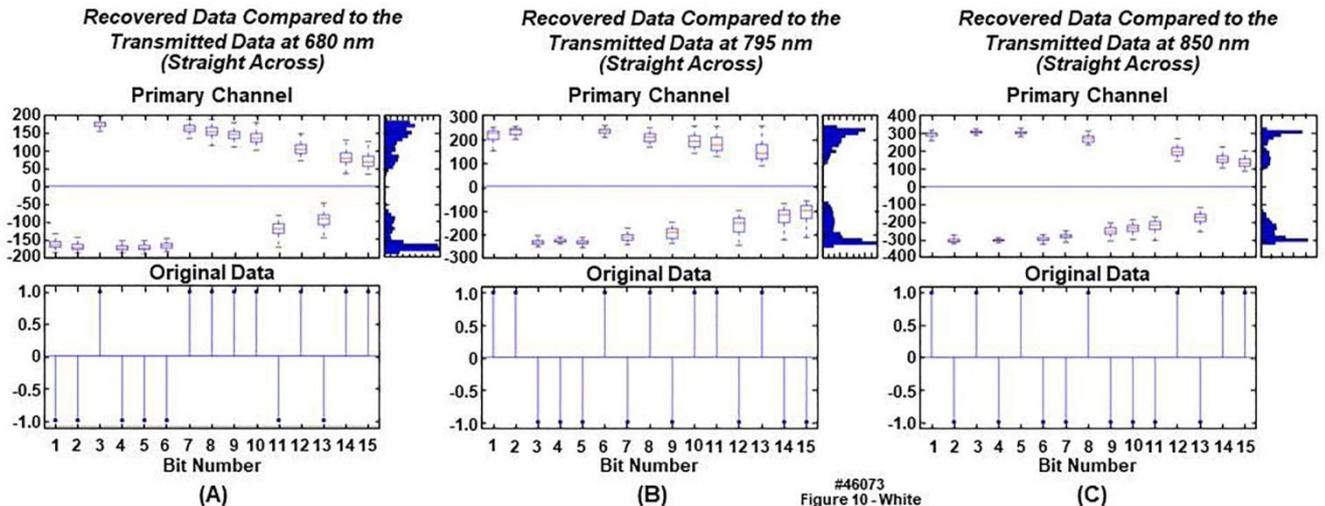
**Figure 7.** Test configurations of the multi-wavelength and multiple detector optical front ends. (44141)



**Figure 8.** Lab post-processed waveforms for various dilutions of intralipid-20%. Simultaneous wavelengths are recovered and their responses are displayed. (44805)



**Figure 9.** Comparison of cuvette orientations in lab measurements. Post-processing of the recovered signal shows results for three simultaneous wavelengths. Test medium was intralipid 20% diluted by varying fractions. (44837)



**Figure 10.** Data recovery of multiple wavelengths to verify wavelength separation. (46073)

## 4. Conclusions

This paper describes the design and test of analog front ends for multiple wavelength, multiple detector free-space optical measurements. Commodity optical and electrical components were used to construct a compact front-end transmitter and receiver pair. The transmitter demonstrated a tri-wavelength VCSEL solution that had sufficiently fast optical edges and 1-2 mW modulation amplitudes. The receiver demonstrated an APD solution with linear gain stages to supply 50 dB of gain with a bandwidth of 600 MHz. The system was tested with various media. In each case the wavelengths were recovered from small signals through simultaneous measurement and post-processing.

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